

02-08-02

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02/05/02

JCS11 U.S. PTO

# Utility Patent Application Transmittal

Attorney Docket No.: CB-11-1

First Inventor: Robert H. Dahla

Title: Electrosurgical Apparatus and Methods for  
Treatment and Removal of Tissue

Express Mail Label No.: EK025306848US

JCS11 U.S. PTO  
10/068533

02/05/02

## APPLICATION ELEMENTS

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
2. ☐ Applicant claims small entity status.
3. ☒ Specification - Total Pages 64
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claims
  - Abstract of the Disclosure

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence  
Submission
  - a. ☐ Computer Readable Form
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

4. ☒ Drawings - Total Sheet 28
5. ☒ Oath or Declaration - Total Pages 1
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application  
(37 CFR 1.63(d))
    - i. ☐ Deletion of Inventors
6. ☐ Application Data Sheet

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet/documents)
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document
12. ☐ Information Disclosure Statement (PTO-1449)
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard
15. ☐ Certified Copy of Priority Document
16. ☐ Request and Certification under 35 USC  
122(b)(2)(B)(I)
17. ☐ Other \_\_\_\_\_

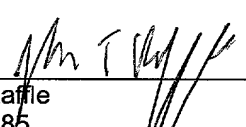
18. ☒ If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below  
and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.  
☐ Continuation ☐ Divisional ☒ Continuation-in-Part (CIP)

of prior application No.: 09/586,295 filed June 2, 2000

Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or  
declaration is supplied under Box 5B is considered a part of the disclosure of the accompanying continuation or divisional  
application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been  
inadvertently omitted from the submitted application parts.

## 19. Correspondence Address:

Customer Number **021394**
  
 John T. Raffle  
 Reg. 38,585

2/4/01  
 Date

## CERTIFICATE OF MAILING

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office  
To Addressee" service under 37 CFR 1.10 on the date indicated below, Express Mail Label No. EK025306848 and is  
addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231

  
 Katie Zarzana

February 5, 2002  
 Date

# **FEE TRANSMITTAL for FY 2002**

Application No.: *unassigned*

Filing Date: February 5, 2002

First Named Inventor: Robert H. Dahla et al.

Examiner Name: *unassigned*

Group Art Unit: *unassigned*

[ ] Applicant claims small entity status. 37 CFR 1.27

Attorney Docket No.: CB-11-1

**TOTAL AMOUNT OF PAYMENT \$1,700.00**

## **FEE CALCULATION (continued)**

### **METHOD OF PAYMENT**

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

**Deposit Account No. 50-0359**  
ArthroCare Corporation

### **FEE CALCULATION**

#### **1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

**SUBTOTAL (1) \$740**

#### **2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims 50	- 20** = 30	x 18 =	540
Independent 8	- 3** = 5	x 84 =	420
Claims			
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dep. Claim
109 84	209 42	**Reissue indep. over orig.
110 18	210 9	**Reissue clms over 20

**SUBTOTAL (2) \$960**

\*\*or number previously paid, if greater; For Reissues, see above

#### **3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	Request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner Action	
113 1,840	113 1,840*	Requesting publication of SIR after Examiner Action	
115 110	215 55	Extension for reply within 1 <sup>st</sup> month	
116 400	216 200	Extension for reply within 2 <sup>nd</sup> month	
117 920	217 460	Extension for reply within 3 <sup>rd</sup> month	
118 1,440	218 720	Extension for reply within 4 <sup>th</sup> month	
128 1,960	228 980	Extension for reply within 5 <sup>th</sup> month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of IDS	
581 40	581 40	Recording assignment per property	
146 740	246 370	Filing a submission after final	
149 740	249 370	For each additional invention to be examined	
179 740	279 370	Request for Continued Examination	
169 900	169 900	Request for expedited examination of a design application	

Other fee: \_\_\_\_\_

**SUBTOTAL (3) \$ \_\_\_\_\_**

\*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

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Date

2/17/01